



PATENT  
Q198-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert C. West et al.

Serial No: 10/810,019

Filed: March 25, 2004

For: POLYSILOXANE FOR USE IN  
ELECTROCHEMICAL CELLS

Art Unit: N/A

Examiner: N/A

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sirs:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450, on

April 20, 2004

Date of Deposit

Kathy Hinckley

Name

Signature

4/20/04  
Date

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).
- ☐ Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-0921, referencing docket number \_\_\_. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
- ☐ Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-0921, referencing docket number \_\_\_. A copy of this petition is enclosed.
4. The filing of an information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b) [37 C.F.R. § 1.97(h)]. Further, applicants make no admission that all references presented here are prior art in relation to the present application.
5. If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-0921. **A copy of this petition is enclosed.**
6. A list of 172 reference(s) is in the enclosed Form PTO-1449.
- ☐ Pursuant to 37 C.F.R. § 1.98(d), a copy / copies of some of the listed reference(s) is / are not provided since it is / they are of record in parent application Serial No. \_\_\_ filed \_\_, the benefit of the filing date of which is claimed herein under 35 U.S.C. § 120.

**NON-ENGLISH LANGUAGE REFERENCES**

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

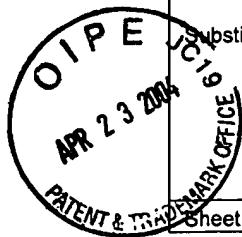
Respectfully submitted,

Date: April 20, 2004

By: 

M. Elizabeth Bush  
Registration No. 38,402  
Patent Agent for Applicant(s)

Quallion LLC Legal Department  
P.O. Box 923127  
Sylmar, CA 91392-3127  
(818) 833-2003 (ph)  
(818) 833-2065 (fax)



|   |   |    |   |                          |                       |
|---|---|----|---|--------------------------|-----------------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                       |
|   |   |    |   | Application Number       | 10/810,019            |
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|   |   |    |   | First Named Inventor     | Robert C. WEST et al. |
|   |   |    |   | Art Unit                 | N/A                   |
|   |   |    |   | Examiner Name            | N/A                   |
| Sheet   | 1 | of | 8 | Attorney Docket number   | Q198-US1              |

### US PATENT DOCUMENTS

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

|   |   |    |   |                          |                       |
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| Examiner Signature |                         |           |      |                                | Date Considered                                 |                  |                     |                 |

|   |   |    |   |                          |                       |
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|                   | JP                      | 11-302384   | A2   | 11-02-1999                     | Kanegafuchi Chem                                | √                |                     |                 |
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|                   | JP                      | 2001-283913 |      | 10-12-2001                     | Kyocera Corp                                    | √                | √                   | √               |
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|                   | JP                      | 2002-063936 | A2   | 02-28-2002                     | Kanegafuchi Chem Ind. Co Ltd                    | √                | √                   | √               |
|                   | EP                      | 1 202 374   | A1   | 05-02-2002                     | NGK Insulators, LTD                             |                  |                     | √               |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
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|                   | Office                  | Number      | Kind |                                |   |                  |                     |                 |
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|                   | JP                      | 2002-155142 | A2   | 05-28-2002                     | Fuji Photo Film Co                              | √                | √                   | √               |
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|                   | JP                      | 2003-002974 | A2   | 01-08-2003                     | Chisso Corp                                     | √                | √                   | √               |
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|                   | WO                      | 03/083974   | A1   | 10-09-2003                     | Yoon et al.                                     |                  |                     | √               |

### OTHER DOCUMENTS

| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published |
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|   |   |    |   | Art Unit                 | N/A                   |
|   |   |    |   | Examiner Name            | N/A                   |
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